

# SYMBOLIC SUMMATION WITH SINGLE-NESTED SUM EXTENSIONS (EXTENDED VERSION)

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ABSTRACT. We present a streamlined and refined version of Karr's summation algorithm. Karr's original approach constructively decides the telescoping problem in  $\Pi\Sigma$ -fields, a very general class of difference fields that can describe rational terms of arbitrarily nested indefinite sums and products. More generally, our new algorithm can decide constructively if there exists a so called single-nested  $\Pi\Sigma$ -extension over a given  $\Pi\Sigma$ -field in which the telescoping problem for  $f$  can be solved in terms that are not more nested than  $f$  itself. This allows to eliminate an indefinite sum over  $f$  by expressing it in terms of additional sums that are not more nested than  $f$ . Moreover, our refined algorithm contributes to definite summation: it can decide constructively if the creative telescoping problem for a fixed order can be solved in single-nested  $\Sigma^*$ -extensions that are less nested than the definite sum itself.

## 1. INTRODUCTION

Let  $(\mathbb{F}, \sigma)$  be a difference field, i.e., a field<sup>1</sup>  $\mathbb{F}$  together with a field automorphism  $\sigma : \mathbb{F} \rightarrow \mathbb{F}$ , and let  $\mathbb{K}$  be its constant field, i.e.,  $\mathbb{K} = \text{const}_\sigma \mathbb{F} := \{k \in \mathbb{F} \mid \sigma(k) = k\}$ . Then Problem *PFLDE* plays an important role in symbolic summation.

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Problem <i>PFLDE</i> : Solving <b>P</b> arameterized <b>F</b> irst Order <b>L</b> inear <b>D</b> ifference <b>E</b> quations
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- Given  $a_1, a_2 \in \mathbb{F}^*$  and  $(f_1, \dots, f_n) \in \mathbb{F}^n$ .
- Find all  $g \in \mathbb{F}$  and  $(c_1, \dots, c_n) \in \mathbb{K}^n$  with  $a_1 \sigma(g) + a_2 g = \sum_{i=1}^n c_i f_i$ .

For instance, if one takes the field of rational functions  $\mathbb{F} = \mathbb{K}(k)$  with the shift  $\sigma(k) = k + 1$  and specializes to  $n = 1$ ,  $a_1 = 1$  and  $a_2 = -1$ , one considers the telescoping problem for a rational function  $f_1 = f'(k) \in \mathbb{K}(k)$ . Moreover, if  $\mathbb{K} = \mathbb{K}'(m)$  and  $f_i = f'(m + i - 1, k) \in \mathbb{K}'(m)(k)$  for  $1 \leq i \leq n$ , one formulates the creative telescoping problem [14] of order  $n - 1$  for definite rational sums.

More generally,  $\Pi\Sigma$ -fields, introduced in [6, 7], are difference fields  $(\mathbb{F}, \sigma)$  with constant field  $\mathbb{K}$  where  $\mathbb{F} := \mathbb{K}(t_1) \dots (t_e)$  is a rational function field and the application of  $\sigma$  on the  $t_i$ 's is recursively defined over  $1 \leq i \leq e$  with  $\sigma(t_i) = \alpha_i t_i + \beta_i$  for  $\alpha_i, \beta_i \in \mathbb{K}(t_1) \dots (t_{i-1})$ ; we omitted some technical conditions given in Section 2. Note that  $\Pi\Sigma$ -fields enable to describe a huge class of sequences, like hypergeometric terms, as shown in [13], or most d'Alembertian solutions [1, 9], a subclass of Liouvillian solutions [5] of linear recurrences. More generally,  $\Pi\Sigma$ -fields allow to describe rational terms consisting of arbitrarily nested indefinite sums and products. We want to emphasize that the nested depth of these sums and products gives a

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<sup>1</sup>Throughout this paper all fields will have characteristic 0.

measure of the complexity of expressions. This can be carried over to  $\Pi\Sigma$ -fields by introducing the *depth* of  $t_i$  as the number of recursive definition steps that are needed to describe the application of  $\sigma$  on  $t_i$ ; for more details see Section 2. Moreover, the depth of  $f \in \mathbb{F}$  is the maximum depth of the  $t_i$ 's that occur in  $f$ , and the depth of  $(\mathbb{F}, \sigma)$  is the maximum depth of all the  $t_i$ .

The main result in [6] is an algorithm that solves Problem *PFLDE* and therefore the telescoping and creative telescoping problem for a given  $\Pi\Sigma$ -field  $(\mathbb{F}, \sigma)$  where the constant field  $\mathbb{K}$  is  $\sigma$ -computable. This means that **(1)** for any  $k \in \mathbb{K}$  one can decide if  $k \in \mathbb{Z}$ , **(2)** polynomials in  $\mathbb{K}[t_1, \dots, t_n]$  can be factored over  $\mathbb{K}$ , and **(3)** one knows how to compute a basis of  $\{(n_1, \dots, n_k) \in \mathbb{Z}^k \mid c_1^{n_1} \dots c_k^{n_k} = 1\}$  for  $(c_1, \dots, c_k) \in \mathbb{K}^k$  which is a submodule of  $\mathbb{Z}^k$  over  $\mathbb{Z}$ . For instance, any rational function field  $\mathbb{K} = \mathbb{A}(x_1, \dots, x_r)$  over an algebraic number field  $\mathbb{A}$  is  $\sigma$ -computable; see [13].

In this paper we will present a streamlined and simplified version of Karr's original algorithm [6] for Problem *PFLDE* using Bronstein's denominator bound [2] and results from [6, 12, 10, 11]. Afterwards we will extend this approach to an algorithm that can solve

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Problem *RS*: Refined Summation

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- Given a  $\Pi\Sigma$ -field  $(\mathbb{F}, \sigma)$  with depth  $d$ , constant field  $\mathbb{K}$  and  $(f_1, \dots, f_n) \in \mathbb{F}^n$ .
  - *Decide* constructively if there are  $(0, \dots, 0) \neq (c_1, \dots, c_n) \in \mathbb{K}^n$  and  $g \in \mathbb{F}(x_1) \dots (x_e)$  for  $\sigma(g) - g = \sum_{i=1}^n c_i f_i$  in an extended  $\Pi\Sigma$ -field  $(\mathbb{F}(x_1) \dots (x_e), \sigma)$  with depth  $d$  and  $\sigma(x_i) = \alpha_i x_i + \beta_i$  where  $\alpha_i, \beta_i \in \mathbb{F}$ .
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Suppose we fail to find a solution  $g$  with  $\sigma(g) - g = f$  in a given  $\Pi\Sigma$ -field  $(\mathbb{F}, \sigma)$  with depth  $d$  and  $f \in \mathbb{F}^*$  with depth  $d$ , but there exists such an extended  $\Pi\Sigma$ -field  $(\mathbb{F}(x_1) \dots (x_e), \sigma)$  and a solution  $g$  with depth  $d$  for  $\sigma(g) - g = f$ . Then our new algorithm can compute such an extension with such a solution  $g$ . As a side result we will show that it suffices to restrict to the sum case, i.e.,  $\sigma(x_i) - x_i \in \mathbb{F}$ . In some sense our results shed new constructive light on Karr's Fundamental Theorem [6].

For instance, in Karr's approach [6] one can find the right hand side in (1) only by setting up manually the corresponding  $\Pi\Sigma$ -field in terms of the harmonic numbers  $H_n := \sum_{i=1}^n \frac{1}{i}$  and the generalized versions  $H_n^{(r)} := \sum_{i=1}^n \frac{1}{i^r}$ ,  $r > 1$ , whereas with our new algorithm the underlying  $\Pi\Sigma$ -field is constructed completely automatically. Additional examples are

$$\begin{aligned} \sum_{k=1}^n \frac{1}{k} \sum_{j=1}^k \frac{1}{j} \sum_{i=1}^j \frac{1}{i} &= \frac{1}{6} [H_n^3 + 3H_n H_n^{(2)} + 2H_n^{(3)}], \\ \sum_{k=1}^n \frac{1}{k} \sum_{i=1}^k \frac{1}{H_i} &= -n + H_n \sum_{i=1}^n \frac{1}{H_i} + \sum_{i=1}^n \frac{1}{iH_i}, \\ \sum_{k=0}^a \left( \sum_{i=0}^k \binom{n}{i} \right)^2 &= (n-a) \binom{n}{a} \sum_{i=0}^a \binom{n}{i} - \frac{n-2a-2}{2} \left( \sum_{i=0}^a \binom{n}{i} \right)^2 - \frac{n}{2} \sum_{i=1}^n \binom{n}{i}^2. \end{aligned} \tag{1}$$

Our new approach also refines creative telescoping: we might find a recurrence of smaller order by introducing additional sums with depths smaller than the definite sum.

All these algorithms have been implemented in form of the summation package *Sigma* in the computer algebra system Mathematica. The wide applicability of this new approach is illustrated for instance in [9, 8, 4].

## 2. REFINED SUMMATION IN $\Pi\Sigma$ -FIELDS

First we introduce some notations and definitions. Let  $(\mathbb{F}, \sigma)$  be a difference field with  $\mathbb{K} = \text{const}_\sigma \mathbb{F}$ ,  $\mathbf{a} = (a_1, a_2) \in \mathbb{F}^2$  and  $\mathbf{f} = (f_1, \dots, f_n) \in \mathbb{F}^n$ . For any  $\mathbf{h} = (h_1, \dots, h_n) \in \mathbb{F}^n$  and  $p \in \mathbb{F}$  we write  $\mathbf{f}\mathbf{h} := \sum_{i=1}^n f_i h_i$ ,  $\sigma(\mathbf{h}) := (\sigma(h_1), \dots, \sigma(h_n))$ , and  $\mathbf{h}p := (h_1 p, \dots, h_n p)$ . We define  $\mathbf{0}_n := (0, \dots, 0) \in \mathbb{K}^n$ , and write  $\mathbf{0} = \mathbf{0}_n$  if it is clear from the context. We call  $\mathbf{a}$  *homogeneous over*  $\mathbb{F}$  if  $a_1 a_2 \neq 0$  and  $a_1 \sigma(g) + a_2 g = 0$  for some  $g \in \mathbb{F}^*$ .

Now let  $\mathbb{V}$  be a subspace of  $\mathbb{F}$  over  $\mathbb{K}$  and suppose that  $\mathbf{a} \neq \mathbf{0}$ . Then we define the *solution space*  $V(\mathbf{a}, \mathbf{f}, \mathbb{V})$  as the subspace  $\{(c_1, \dots, c_n, g) \in \mathbb{K}^n \times \mathbb{V} \mid a_1 \sigma(g) + a_2 g = \sum_{i=1}^n c_i f_i\}$  of the vector space  $\mathbb{K}^n \times \mathbb{F}$  over  $\mathbb{K}$ . By difference field theory [3], the dimension is at most  $n + 1$ ; see also [9, 10]. Therefore Problem *PFLDE* is equivalent to find a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F})$ .

A difference field  $(\mathbb{E}, \sigma')$  is a *difference field extension* of  $(\mathbb{F}, \sigma)$  if  $\mathbb{F}$  is a subfield of  $\mathbb{E}$  and  $\sigma'(g) = \sigma(g)$  for  $g \in \mathbb{F}$ ; note that from now  $\sigma$  and  $\sigma'$  are not distinguished anymore.

A difference field extension  $(\mathbb{F}(t), \sigma)$  of  $(\mathbb{F}, \sigma)$  is a  $\Pi$ - (*resp.*  $\Sigma^*$ -) *extension* if  $\mathbb{F}(t)$  is a rational function field,  $\sigma(t) = at$  ( $\sigma(t) = t + a$  *resp.*) for some  $a \in \mathbb{F}^*$  and  $\text{const}_\sigma \mathbb{F}(t) = \text{const}_\sigma \mathbb{F}$ .

A difference field extension  $(\mathbb{F}(t), \sigma)$  of  $(\mathbb{F}, \sigma)$  is a  $\Sigma$ -*extension* if  $\mathbb{F}(t)$  is a rational function field,  $\sigma(t) = \alpha t + \beta$  for some  $\alpha, \beta \in \mathbb{F}^*$ ,  $\text{const}_\sigma \mathbb{F}(t) = \text{const}_\sigma \mathbb{F}$ , and the following two properties hold for  $\alpha$ : (1) there does not exist a  $g \in \mathbb{F}(t) \setminus \mathbb{F}$  with  $\frac{\sigma(g)}{g} = \alpha$ , and (2) if there is a  $g \in \mathbb{F}^*$  and  $n \neq 0$  with  $\sigma(g)/g = \alpha^n$  then there is also a  $g \in \mathbb{F}^*$  with  $\sigma(g)/g = \alpha$ . Note that any  $\Sigma^*$ -extension is also a  $\Sigma$ -extension; for more details see [6, 7, 2, 9, 13]. A  $\Pi\Sigma$ -*extension* is either a  $\Pi$ - or a  $\Sigma$ -extension. A difference field extension  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  of  $(\mathbb{F}, \sigma)$  is a (*nested*)  $\Sigma^*/\Pi\Sigma$ -*extension* if  $(\mathbb{F}(t_1) \dots (t_i), \sigma)$  is a  $\Sigma^*/\Pi\Sigma$ -extension of  $(\mathbb{F}(t_1) \dots (t_{i-1}), \sigma)$  for all  $1 \leq i \leq e$ ; for  $i = 0$  we define  $\mathbb{F}(t_1) \dots (t_{i-1}) = \mathbb{F}$ . Note that  $e = 0$  gives the trivial extension.

For  $\mathbb{H} \subseteq \mathbb{F}$ , a  $\Pi\Sigma$ -extension  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  of  $(\mathbb{F}, \sigma)$  is *single-nested over*  $\mathbb{H}$ , or in short *over*  $\mathbb{H}$ , if  $\sigma(t_i) = \alpha_i t_i + \beta_i$  with  $\alpha_i, \beta_i \in \mathbb{H}$  for all  $1 \leq i \leq e$ . A  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$  is called *single-nested*, if it is single-nested over  $\mathbb{F}$ .

Finally, a  $\Pi\Sigma$ -*field*  $(\mathbb{F}, \sigma)$  *over*  $\mathbb{K}$  is a  $\Pi\Sigma$ -extension of  $(\mathbb{K}, \sigma)$  with  $\text{const}_\sigma \mathbb{K} = \mathbb{K}$ , i.e.,  $\text{const}_\sigma \mathbb{F} = \mathbb{K}$ .

In [6] alternative definitions of  $\Pi\Sigma$ -extensions are introduced that allow to decide constructively if an extension  $(\mathbb{F}(t), \sigma)$  of  $(\mathbb{F}, \sigma)$  is a  $\Pi\Sigma$ -extension under the assumption that  $(\mathbb{F}, \sigma)$  is a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ . For instance, for  $\Sigma^*$ -extensions there is the following result given in [7, Theorem 2.3] or [9, Corollary 2.2.4].

**Theorem 1.** *Let  $(\mathbb{F}(t), \sigma)$  be a difference field extension of  $(\mathbb{F}, \sigma)$ . Then this is a  $\Sigma^*$ -extension iff  $\sigma(t) = t + \beta$ ,  $t \notin \mathbb{F}$ ,  $\beta \in \mathbb{F}$ , and there is no  $g \in \mathbb{F}$  with  $\sigma(g) - g = \beta$ .*

In particular, this result states that indefinite summation and building up  $\Sigma^*$ -extensions are closely related. Namely, if one fails to find a  $g \in \mathbb{F}$  with  $\sigma(g) - g = \beta \in \mathbb{F}$ , i.e., one cannot solve the telescoping problem in  $\mathbb{F}$ , one can adjoin the solution  $t$  with  $\sigma(t) + t = \beta$  to  $\mathbb{F}$  in form of the  $\Sigma^*$ -extension  $(\mathbb{F}(t), \sigma)$  of  $(\mathbb{F}, \sigma)$ .

Our refined simplification strategy for a given sum is as follows: If we fail to solve the telescoping problem, we do not adjoin immediately the sum in form of a  $\Sigma^*$ -extension, but we

first try to find an appropriate  $\Pi\Sigma$ -extension in which the sum can be formulated less nested. These ideas can be clarified further with the depth-function. Let  $\mathbb{F} = \mathbb{K}(t_1, \dots, t_e)$  be a function field over  $\mathbb{K}$ . Then for  $g = \frac{g_1}{g_2} \in \mathbb{F}^*$  with  $g_i \in \mathbb{K}[t_1, \dots, t_e]$  and  $\gcd_{\mathbb{K}[t_1, \dots, t_e]}(g_1, g_2) = 1$  we define the support of  $g$ , in short  $\text{supp}_{\mathbb{F}}(g)$ , as those  $t_i$  that occur in  $g_1$  or  $g_2$ . Then for a  $\Pi\Sigma$ -field  $(\mathbb{F}, \sigma)$  over  $\mathbb{K}$  with  $\mathbb{F} := \mathbb{K}(t_1) \dots (t_e)$  and  $\sigma(t_i) = \alpha_i t_i + \beta_i$  for  $\alpha_i, \beta_i \in \mathbb{K}(t_1) \dots (t_{i-1})$ , the depth-function  $\text{depth} : \mathbb{F} \rightarrow \mathbb{N}_0$  is defined recursively as follows. For any  $g \in \mathbb{K}$  set  $\text{depth}(g) = 0$ . If the depth-function is defined for  $(\mathbb{K}(t_1) \dots (t_{i-1}), \sigma)$  with  $i > 1$ , we define  $\text{depth}(t_i) = \max(\text{depth}(\alpha_i), \text{depth}(\beta_i)) + 1$  and for  $g \in \mathbb{K}(t_1) \dots (t_i)$  we define  $\text{depth}(g) = \max(\{\text{depth}(x) \mid x \in \text{supp}_{\mathbb{K}(t_1, \dots, t_i)}(g)\} \cup \{0\})$ . The depth of  $(\mathbb{F}, \sigma)$ , in short  $\text{depth}(\mathbb{F})$ , is the maximal depth of all elements in  $\mathbb{F}$ , i.e.,  $\text{depth}(\mathbb{F})$  is equal to  $\max(0, \text{depth}(t_1), \dots, \text{depth}(t_e))$ . We say that a  $\Pi\Sigma/\Sigma^*$ -extension  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  of a  $\Pi\Sigma$ -field  $(\mathbb{F}, \sigma)$  has maximal depth  $d$  if  $\text{depth}(t_i) \leq d$  for all  $1 \leq i \leq e$ .

Now we can reformulate Problem *RS* as follows. *Given a  $\Pi\Sigma$ -field  $(\mathbb{F}, \sigma)$  with depth  $d$  and  $\mathbf{f} \in \mathbb{F}^n$ . Decide constructively if there is a single-nested  $\Pi\Sigma$ -extension  $(\mathbb{E}, \sigma)$  of  $(\mathbb{F}, \sigma)$  with maximal depth  $d$ ,  $g \in \mathbb{E}$  and  $\mathbf{0} \neq \mathbf{c} \in \mathbb{K}^n$  such that  $\sigma(g) - g = \mathbf{c} \mathbf{f}$ .*

**Example 1.** Denote the left side in (1) with  $S_n^{(3)}$  and define  $S_n^{(1)} := \sum_{i=1}^n \frac{1}{i}$  and  $S_n^{(2)} := \sum_{j=1}^n S_j^{(1)}/j$ . In the straightforward summation approach one applies usual telescoping which results in the  $\Pi\Sigma$ -field  $(\mathbb{Q}(t_1)(t_2)(t_3)(t_4), \sigma)$  over  $\mathbb{Q}$  with  $\sigma(t_1) = t_1 + 1$ ,  $\sigma(t_2) = t_2 + \frac{1}{t_1+1}$ ,  $\sigma(t_3) = t_3 + \sigma(\frac{t_2}{t_1})$  and  $\sigma(t_4) = t_4 + \sigma(\frac{t_3}{t_1})$ , i.e., there is no  $g \in \mathbb{Q}(t_1)$  with  $\sigma(g) - g = \frac{1}{t_1+1}$  and no  $g \in \mathbb{Q}(t_1) \dots (t_r)$  with  $\sigma(g) - g = \sigma(\frac{t_r}{t_1})$  for  $r = 2, 3$ . Then  $t_r$  represents  $S_n^{(r-1)}$  with  $\text{depth}(t_r) = r$  for  $r = 2, 3, 4$ , and  $\text{depth}(\mathbb{Q}(t_1) \dots (t_4)) = 4$ . But with our refined summation approach we obtain the following improvement starting from the  $\Pi\Sigma$ -field  $(\mathbb{F}, \sigma)$  with  $\mathbb{F} := \mathbb{Q}(t_1)(t_2)$ . We find the  $\Sigma^*$ -extension  $(\mathbb{F}(s), \sigma)$  of  $(\mathbb{F}, \sigma)$  with  $\sigma(s) = s + \frac{1}{(t_1+1)^2}$  with the solution  $g := \frac{t_2+s}{2}$  for  $\sigma(g) - g = \sigma(\frac{t_2}{t_1})$  that represents the sum  $S_n^{(2)}$ . Moreover, we find the  $\Sigma^*$ -extension  $(\mathbb{F}(s)(s'), \sigma)$  of  $(\mathbb{F}(s), \sigma)$  with  $\sigma(s') = s' + \frac{1}{(t_1+1)^3}$  and the solution  $g' = \frac{1}{6}(t_2^3 + 3t_2 s + 2s')$  for  $\sigma(g') - g' = \sigma(g/t_1)$ . Then  $S_n^{(3)}$  is represented by  $g'$  with  $\text{depth}(g') = 2$  which gives the right hand side of identity (1).

Besides refined indefinite summation, we obtain a generalized version of creative telescoping in  $\Pi\Sigma$ -fields. Suppose that the sequences  $f'(m+i-1, k)$  can be represented with  $f_i \in \mathbb{F}$  for  $i \geq 1$  in a  $\Pi\Sigma$ -field  $(\mathbb{F}, \sigma)$  over  $\mathbb{K}(m)$  with  $\text{depth}(f_i) = d$ . Moreover assume that we do not find a  $g \in \mathbb{F}$  and  $\mathbf{0} \neq \mathbf{c} \in \mathbb{K}(m)^n$  with  $\sigma(g) - g = \mathbf{c} \mathbf{f}$  for  $\mathbf{f} = (f_1, \dots, f_n)$ . Then the usual strategy is to increase  $n$ , i.e., the order of the possibly resulting creative telescoping recurrence. But if we find a solution for Problem *RS*, we derive a recurrence of order  $n-1$  in terms of sum extensions with maximal depth  $d$ .

Summarizing, for telescoping and creative telescoping we are interested in finding a single-nested  $\Pi\Sigma$ -extension in which a nontrivial linear combination of  $(f_1, \dots, f_n)$  in the solution space exists. More generally, we will ask for those extensions that will give us additional linear combinations. To make this more precise, we define for any  $\mathbb{A} \subseteq \mathbb{F}^{n+1}$  the set  $\Pi_n(\mathbb{A}) := \{(a_1, \dots, a_n) \mid (a_1, \dots, a_n, a_{n+1}) \in \mathbb{A}\}$ .

**Definition 1.** Let  $(\mathbb{E}, \sigma)$  be a  $\Pi\Sigma$ -field over  $\mathbb{K}$  with depth  $d$ ,  $1 \leq \delta \leq d+1$ , and  $\mathbf{f} \in \mathbb{E}^n$ . We call a  $\Pi\Sigma$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  single-nested  $\delta$ -complete for  $\mathbf{f}$  if for all single-nested  $\Pi\Sigma$ -extensions  $(\mathbb{H}, \sigma)$  of  $(\mathbb{E}, \sigma)$  with maximal depth  $\delta$  we have

$$\Pi_n(\mathbb{V}((1, -1), \mathbf{f}, \mathbb{H})) \subseteq \Pi_n(\mathbb{V}((1, -1), \mathbf{f}, \mathbb{G})). \quad (2)$$

In this paper we solve the following problem. *Given a  $\Pi\Sigma$ -field  $(\mathbb{E}, \sigma)$  over a  $\sigma$ -computable  $\mathbb{K}$  with depth  $d$ ,  $\mathbf{f} \in \mathbb{E}^n$  and  $\delta \in \mathbb{N}$  with  $1 \leq \delta \leq d + 1$ ; compute a single-nested  $\Sigma^*$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  with maximal depth  $\delta$  which is single-nested  $\delta$ -complete for  $\mathbf{f}$ , and compute a basis of  $V((1, -1), \mathbf{f}, \mathbb{G})$ . Note that Problem *RS* for single-nested  $\Pi\Sigma$ -extension is contained in this problem by setting  $\delta := d$ .*

### 3. A MORE GENERAL PROBLEM

In order to treat the problem stated in the previous paragraph, we solve the more general problem to find an  $\mathbb{F}$ -complete extension of  $(\mathbb{E}, \sigma)$  for  $\mathbf{f}$  defined in

**Definition 2.** *Let  $(\mathbb{E}, \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$  and  $\mathbf{f} \in \mathbb{E}^n$ . We call a  $\Pi\Sigma$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  single-nested  $\mathbb{F}$ -complete for  $\mathbf{f}$ , or in short  $\mathbb{F}$ -complete for  $\mathbf{f}$ , if (2) holds for all  $\Pi\Sigma$ -extensions  $(\mathbb{H}, \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$ .*

The following lemma is crucial to show in Theorem 2 that there exists a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  which is  $\mathbb{F}$ -complete for  $\mathbf{f}$ . This means that it suffices to restrict to  $\Sigma^*$ -extensions. Moreover this lemma is needed to prove Theorem 6 which gives us the essential idea how one can compute such  $\mathbb{F}$ -complete extensions.

**Lemma 1.** *Let  $(\mathbb{E}, \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$  and  $f \in \mathbb{E}^*$ . If there exists a single-nested  $\Pi\Sigma$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  with a  $g \in \mathbb{G} \setminus \mathbb{E}$  such that  $\sigma(g) - g = f$  then there exists a  $\Sigma^*$ -extension  $(\mathbb{E}(s), \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  with a  $w \in \mathbb{E}$  such that  $\sigma(s + w) - (s + w) = f$ .*

*Proof.* Let  $(\mathbb{G}, \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$ , i.e.,  $\mathbb{G} = \mathbb{E}(t_1) \dots (t_e)$  with  $\sigma(t_i) = \alpha_i t_i + \beta_i$  and  $\alpha_i, \beta_i \in \mathbb{F}$ , and suppose that there is a  $g \in \mathbb{G} \setminus \mathbb{E}$  with  $\sigma(g) - g = f$ . Then by Karr's Fundamental Theorem [6, Theorem 24], see also [7, Section 4], it follows that  $g = \sum_{i=0}^e c_i t_i + w$  for some  $w \in \mathbb{E}$  and  $c_i \in \mathbb{K}$ , where  $c_i = 0$  if  $\sigma(t_i) - t_i \notin \mathbb{F}$ . In particular,  $\mathbf{0} \neq (c_1, \dots, c_e)$ , since  $g \notin \mathbb{E}$ . Now let  $\mathbb{E}(s)$  be a rational function field and suppose that the difference field extension  $(\mathbb{E}(s), \sigma)$  of  $(\mathbb{E}, \sigma)$  with  $\sigma(s) - s = \sum_{i=1}^e c_i (\sigma(t_i) - t_i) =: \beta \in \mathbb{F}$  is not a  $\Sigma^*$ -extension. Then by Theorem 1 we can take a  $g' \in \mathbb{E}$  with  $\sigma(g') - g' = \beta$ . Let  $j$  be maximal such that  $c_j \neq 0$ . Then we have  $\sigma(v) - v = \sigma(t_j) - t_j \in \mathbb{F}$  for  $v := \frac{1}{c_j}(g' - \sum_{i=1}^{j-1} c_i t_i) \in \mathbb{E}(t_1) \dots (t_{j-1})$ , and thus  $(\mathbb{E}(t_1) \dots (t_{j-1})(t_j), \sigma)$  is not a  $\Sigma^*$ -extension of  $(\mathbb{E}(t_1) \dots (t_{j-1}), \sigma)$  by Theorem 1, a contradiction. Hence  $(\mathbb{E}(s), \sigma)$  is a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$ , and  $\sigma(s + w) - (s + w) = \sum_{i=1}^e c_i (\sigma(t_i) - t_i) + \sigma(w) - w = \sigma(g) - g = f$ .  $\square$

Observe that Lemma 1 follows immediately by Theorem 1 if one restricts to the special case  $\mathbb{E} = \mathbb{F}$ . For the case  $\mathbb{F} \subsetneq \mathbb{E}$ , in which we are actually interested, we have to involve Karr's Fundamental Theorem [6].

**Theorem 2.** *Let  $(\mathbb{E}, \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$  and  $\mathbf{f} \in \mathbb{E}^n$ . Then there is a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  which is  $\mathbb{F}$ -complete for  $\mathbf{f}$ .*

*Proof.* Let  $(\mathbb{G}, \sigma)$  be a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  which is not  $\mathbb{F}$ -complete for  $\mathbf{f}$ . Then we can take a  $\mathbf{c} \in \mathbb{K}^n$  such that  $\sigma(g) - g = \mathbf{c}\mathbf{f} \in \mathbb{E}$  has a solution in some  $\Pi\Sigma$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$ , but no solution in  $\mathbb{E}$ . Then by Lemma 1 it follows that there is a  $\Sigma^*$ -extension  $(\mathbb{E}(s), \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  with  $\sigma(s + w) - (s + w) = \mathbf{c}\mathbf{f}$  for some  $w \in \mathbb{E}$ . Observe that there also does not exist an  $h \in \mathbb{G}$  with  $\sigma(h) - h = \beta \in \mathbb{F}$ . Otherwise we would have  $\sigma(h + w) - (h + w) = \mathbf{c}\mathbf{f}$  with  $h + w \in \mathbb{G}$ , a contradiction. Consequently, by Theorem 1 also  $(\mathbb{G}(s), \sigma)$  is a  $\Sigma^*$ -extension of  $(\mathbb{G}, \sigma)$  with  $\sigma(s) = s + \beta$  and therefore a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$ . Since  $\Pi_n(V((1, -1), \mathbf{f}, \mathbb{G}))$  is a proper subspace of  $\Pi_n(V((1, -1), \mathbf{f}, \mathbb{G}(s)))$  and those

spaces have dimension at most  $n$ , this argument can be repeated at most  $n$  times before an  $\mathbb{F}$ -complete  $\Sigma^*$ -extension is reached.  $\square$

In the following we will represent the  $\Pi\Sigma$ -field  $(\mathbb{E}, \sigma)$  in such a way that one can find a single-nested  $\delta$ -complete extension of  $(\mathbb{E}, \sigma)$  for  $\mathbf{f}$  by finding an  $\mathbb{F}$ -complete extension over a certain subfield  $\mathbb{F} \subseteq \mathbb{E}$ .

Let  $\mathbb{G} := \mathbb{F}(s_1) \dots (s_u)(x)(t_1) \dots (t_v)$  be a field of rational functions. Then the field  $\mathbb{H} := \mathbb{F}(x)(s_1) \dots (s_u)(t_1) \dots (t_v)$  is isomorphic with  $\mathbb{G}$  by the field isomorphism  $\tau : \mathbb{G} \rightarrow \mathbb{H}$  with  $\tau(f) = f$  for all  $f \in \mathbb{F}$ ,  $\tau(s_i) = s_i$ ,  $\tau(x) = x$  and  $\tau(t_i) = t_i$ . More sloppily, we write  $\tau(f) = f$  for  $f \in \mathbb{G}$ , or  $\mathbb{G} = \mathbb{H}$ . Now suppose that in addition we consider a  $\Pi\Sigma$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{F}, \sigma)$ . Then we can define the automorphism  $\sigma' : \mathbb{H} \rightarrow \mathbb{H}$  with  $\sigma'(f) = \tau(\sigma(\tau^{-1}(f)))$  for all  $f \in \mathbb{H}$ . In a more sloppy way, we write  $\sigma = \sigma'$ . Then obviously,  $(\mathbb{H}, \sigma)$  is a difference field extension of  $(\mathbb{F}, \sigma)$  with  $\text{const}_\sigma \mathbb{G} = \text{const}_\sigma \mathbb{H} = \text{const}_\sigma \mathbb{F}$ . But in general,  $(\mathbb{H}, \sigma)$  is not anymore a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$ . But if we have  $\sigma(x) = \alpha x + \beta$  with  $\alpha, \beta \in \mathbb{F}$  then this reordering of the variables gives us again a  $\Pi\Sigma$ -extension which is isomorphic to the original one with the trivial difference field isomorphism  $\tau : \mathbb{G} \rightarrow \mathbb{H}$  with  $\tau(f) = f$  and  $\sigma(\tau(f)) = \tau(\sigma(f))$ . The proof of this statement can be carried out rigorously with techniques used in [9, Section 2.4]. Observe that one can reorder a  $\Pi\Sigma$ -field  $(\mathbb{E}, \sigma)$  over  $\mathbb{K}$  with depth  $d$  and  $1 \leq \delta \leq d + 1$  to a  $\Pi\Sigma$ -field  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  with  $\text{depth}(\mathbb{F}) = \delta - 1$  and  $\text{depth}(t_i) \geq \delta$  for all  $1 \leq i \leq e$ . This construction is possible, since any  $\Pi\Sigma$ -extension in  $\mathbb{F}$  has smaller depth than the  $t_i$  and is therefore free of the  $t_i$  in the definition of  $\sigma$ . In addition, we obtain the *difference field isomorphism*  $\tau : \mathbb{E} \rightarrow \mathbb{F}(t_1) \dots (t_e)$  where  $\tau(f) = f$  for all  $f \in \mathbb{E}$ . With this reordered  $\Pi\Sigma$ -field one obtains

**Lemma 2.** *Let  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  be a  $\Pi\Sigma$ -field with  $\delta := \text{depth}(\mathbb{F}) + 1$  and  $\text{depth}(t_i) \geq \delta$  for  $1 \leq i \leq e$ , and let  $(\mathbb{H}, \sigma)$  be a single-nested  $\Pi\Sigma$ -extension of  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$ . Then this extension has maximal depth  $\delta$  iff it is over  $\mathbb{F}$ .*

*Proof.* Write  $\mathbb{H} := \mathbb{F}(t_1) \dots (t_e)(s_1) \dots (s_u)$ . First assume that the extension is over  $\mathbb{F}$ , i.e.,  $\sigma(s_i) = \alpha_i s_i + \beta_i$  with  $\alpha_i, \beta_i \in \mathbb{F}$ . Then, because of  $\text{depth}(\mathbb{F}) = \delta - 1$  it follows that  $\text{depth}(\beta_i) \leq \delta - 1$  and  $\text{depth}(\alpha_i) \leq \delta - 1$ , thus  $\text{depth}(s_i) = \max(\text{depth}(\alpha_i), \text{depth}(\beta_i)) + 1 \leq \delta$ , and therefore the extension has maximal depth  $\delta$ . Conversely, suppose that this extension has maximal depth  $\delta$ , i.e.  $\text{depth}(s_i) \leq \delta$ . Then  $\text{depth}(\alpha_i) \leq \delta - 1$  and  $\text{depth}(\beta_i) \leq \delta - 1$ , and consequently  $\alpha_i, \beta_i \in \mathbb{F}$ .  $\square$

**Theorem 3.** *Let  $(\mathbb{E}, \sigma)$  with  $\mathbb{E} := \mathbb{F}(t_1) \dots (t_e)$  be a  $\Pi\Sigma$ -field where  $\delta := \text{depth}(\mathbb{F}) + 1$  and  $\text{depth}(t_i) \geq \delta$  for  $1 \leq i \leq e$ , and  $\mathbf{f} \in \mathbb{E}^n$ . Then a  $\Pi\Sigma$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  which is  $\mathbb{F}$ -complete for  $\mathbf{f}$  has maximal depth  $\delta$  and is single-nested  $\delta$ -complete for  $\mathbf{f}$ .*

*Proof.* Assume such an extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  is not single-nested  $\delta$ -complete for  $\mathbf{f}$ . Then take a single-nested  $\Pi\Sigma$ -extension  $(\mathbb{H}, \sigma)$  of  $(\mathbb{E}, \sigma)$  with maximal depth  $\delta$  and  $\mathbf{c} \in \Pi_n(\mathbb{V}((1, -1), \mathbf{f}, \mathbb{H})) \setminus \Pi_n(\mathbb{V}((1, -1), \mathbf{f}, \mathbb{G}))$ . Since  $\delta = \text{depth}(\mathbb{F}) + 1$  and  $\text{depth}(t_i) \geq \delta$ ,  $(\mathbb{H}, \sigma)$  is an extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  by Lemma 2, and thus the extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  is not  $\mathbb{F}$ -complete for  $\mathbf{f}$ , a contradiction. Moreover, the extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  is single-nested with maximal depth  $\delta$  by Lemma 2.  $\square$

In Section 5 we will develop an algorithm that computes an  $\mathbb{F}$ -complete  $\Sigma^*$ -extension of  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  over  $\mathbb{F}$  for  $\mathbf{f}$ . Then by Theorem 3 this extension will be also single-nested  $\delta$ -complete for  $\mathbf{f}$  with maximal depth  $\delta$ .

## 4. A REDUCTION STRATEGY

We develop a streamlined version of Karr's summation algorithm [6] based on results of [2] and [9, 12, 10, 11] that solves Problem *PFLDE*. In particular, this approach will assist in finding  $\mathbb{F}$ -complete extensions over  $\mathbb{F}$ .

More precisely, let  $(\mathbb{F}(t), \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$  with  $\sigma(t) = \alpha t + \beta$ ,  $\mathbb{K} = \text{const}_\sigma \mathbb{F}$ ,  $\mathbf{0} \neq \mathbf{a} = (a_1, a_2) \in \mathbb{F}(t)^2$  and  $\mathbf{f} \in \mathbb{F}(t)^n$ . We will introduce a simplified version of Karr's reduction strategy [6] that helps in finding a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$  over  $\mathbb{K}$ . If  $(\mathbb{F}, \sigma)$  is a  $\Pi\Sigma$ -field, this reduction turns into a complete algorithm. Moreover, this reduction technique will deliver all the information to compute an  $\mathbb{F}$ -complete extension.

**A special case.** If  $a_1 a_2 = 0$ , we have  $\mathbf{g} = \mathbf{c} \sigma^{-1}(\frac{\mathbf{f}}{a_1})$  with  $a_1 \neq 0$  or  $\mathbf{g} = \mathbf{c} \frac{\mathbf{f}}{a_2}$  with  $a_2 \neq 0$ . Then it follows with  $\mathbf{g} = (g_1, \dots, g_n)$  and the  $i$ -th unit vector  $(0, \dots, 1, \dots, 0) \in \mathbb{K}^n$  that  $\{(0, \dots, 1, \dots, 0, g_i)\}_{1 \leq i \leq n} \subseteq \mathbb{K}^n \times \mathbb{F}(t)$  is a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$ . Hence from now on we suppose  $\mathbf{a} \in (\mathbb{F}(t)^*)^2$ .

**Clearing denominators and cancelling common factors.** Compute  $\mathbf{a}' = (a'_1, a'_2) \in (\mathbb{F}[t]^*)^2$ ,  $\mathbf{f}' = (f'_1, \dots, f'_n) \in \mathbb{F}[t]^n$  such that  $\text{gcd}_{\mathbb{F}[t]}(f'_1, \dots, f'_n, a'_1, a'_2) = 1$  and  $\mathbf{a}' = \mathbf{a} q$ ,  $\mathbf{f}' = \mathbf{f} q$  for some  $q \in \mathbb{F}(t)^*$ . Then we have  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t)) = V(\mathbf{a}', \mathbf{f}', \mathbb{F}(t))$ . Therefore we may suppose that  $\mathbf{a} \in (\mathbb{F}[t]^*)^2$  and  $\mathbf{f} \in \mathbb{F}[t]^n$  where the entries have no common factors.

In Karr's original approach [6] the solutions  $g = p+q \in \mathbb{F}(t)$  in  $(c_1, \dots, c_n, g) \in V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$  are computed by deriving first the polynomial part  $p \in \mathbb{F}[t]$  and afterwards the fractional part  $q \in \mathbb{F}(t)$ , i.e., the degree of the numerator is smaller than the degree of the denominator. We simplify this approach substantially by first computing a common denominator of all the possible solutions in  $\mathbb{F}(t)$  and afterwards computing the "numerator" of the solutions over this common denominator.

**Denominator bounding.** In the first important reduction step one looks for a *denominator bound*  $d$  of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$ , i.e. a polynomial  $d \in \mathbb{F}[t]^*$  that fulfills

$$\forall (c_1, \dots, c_n, g) \in V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t)) : dg \in \mathbb{F}[t].$$

Since  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$  is a finite dimensional vector space over  $\mathbb{K}$ , a denominator bound must exist. Now suppose that we have given such a  $d$  and define  $\mathbf{a}' := (\frac{a_1}{\sigma(d)}, \frac{a_2}{d})$ . Then it follows that  $\{(c_{i1}, \dots, c_{in}, g_i)\}_{1 \leq i \leq r}$  is a basis of  $V(\mathbf{a}', \mathbf{f}, \mathbb{F}[t])$  if and only if  $\{(c_{i1}, \dots, c_{in}, \frac{g_i}{d})\}_{1 \leq i \leq r}$  is a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$ . For a proof we refer to [9, 12]. Hence, given a denominator bound  $d$  of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$ , we can reduce the problem to search for a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$  to look for a basis of  $V(\mathbf{a}', \mathbf{f}, \mathbb{F}[t])$ . By clearing denominators and cancelling common factors in  $\mathbf{a}$  and  $\mathbf{f}$ , as above, we may also suppose that  $\mathbf{a} \in (\mathbb{F}[t]^*)^2$  and  $\mathbf{f} \in \mathbb{F}[t]^n$ .

**Polynomial degree bounding.** The next step consists of bounding the polynomial degrees in  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t])$ . For convenience we introduce  $\mathbb{F}[t]_b := \{f \in \mathbb{F}[t] \mid \deg(f) \leq b\}$  for  $b \in \mathbb{N}_0$  and  $\mathbb{F}[t]_{-1} := \{0\}$ . Moreover, we define  $\|b\| := \deg b$  for  $b \in \mathbb{F}[t]^*$ ,  $\|0\| := -1$ , and  $\|\mathbf{b}\| := \max_{1 \leq i \leq l} \|b_i\|$  for  $\mathbf{b} = (b_1, \dots, b_l) \in \mathbb{F}[t]^l$ . Then we look for a *polynomial degree bound*  $b$  of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t])$ , i.e., a  $b \in \mathbb{N}_0 \cup \{-1\}$  such that

$$V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_b) = V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]), \quad b \geq \max(-1, \|\mathbf{f}\| - \|\mathbf{a}\|). \quad (3)$$

Again, since  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t])$  is finite dimensional over  $\mathbb{K}$ , a degree bound must exist. Note that by the second condition in (3) it follows that  $\mathbf{f} \in \mathbb{F}[t]_{\|\mathbf{a}\|+b}$  which is needed to proceed with the degree elimination technique below.

Due to [6, 7, 2] the problem to determine a denominator bound or degree bound is completely constructive if  $(\mathbb{F}, \sigma)$  is a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ . The proofs and sub-algorithms of these results can be found in [2, 10, 11].

**Theorem 4.** *If  $(\mathbb{F}(t), \sigma)$  is a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ ,  $\mathbf{a} \in (\mathbb{F}[t]^*)^2$  and  $\mathbf{f} \in \mathbb{F}[t]^n$  then there are algorithms that compute a denominator bound of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t))$  or a degree bound of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t])$ .*

**Polynomial degree reduction.** Finally we have to deal with the problem to compute a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta)$  for some  $\delta \in \mathbb{N}_0 \cup \{-1\}$  where  $\mathbf{f} \in \mathbb{F}[t]_{\delta+l}^n$  with  $l := \|\mathbf{a}\|$ ; this is guaranteed if  $\delta$  is a polynomial degree bound of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t])$ . Here we follow exactly the idea in [6]. Namely, we first find the candidates of the leading coefficients  $g_\delta \in \mathbb{F}$  for the solutions  $(c_1, \dots, c_n, g) \in V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta)$  with  $g = \sum_{i=0}^{\delta} g_i t^i$ , plugging back its solution space and go on recursively to derive the candidates of the missing coefficients  $g_i \in \mathbb{F}$ .

This reduction idea is graphically illustrated in Figure 1 which has to be read as follows. The problem of finding a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta)$  is reduced to **(i)** searching for a basis of  $V(\tilde{\mathbf{a}}_\delta, \tilde{\mathbf{f}}_\delta, \mathbb{F})$  for some specifically determined  $\mathbf{0} \neq \tilde{\mathbf{a}}_\delta \in \mathbb{F}^2$  and  $\tilde{\mathbf{f}}_\delta \in \mathbb{F}^n$  and **(ii)** finding a basis of  $V(\mathbf{a}, \mathbf{f}_{\delta-1}, \mathbb{F}[t]_{\delta-1})$  for some particular chosen  $\mathbf{f}_{\delta-1} \in \mathbb{F}[t]_{\delta-1}^\lambda$ . Then **(iii)**, the original problem  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta)$  can be reconstructed by the two bases of the corresponding subproblems. Intuitively, the solution in  $\mathbb{F}[t]_\delta$  is reconstructed by sub-solutions in  $\mathbb{F}$  (the leading coefficients) and  $\mathbb{F}[t]_{\delta-1}$  (the polynomial with the remaining coefficients) which is reflected by the vector space isomorphism  $\mathbb{F}[t]_\delta \simeq \mathbb{F}[t]_{\delta-1} \oplus t^\delta \mathbb{F}$ . In the sequel we explain this reduction in more details. Define

$$\begin{aligned} \tilde{\mathbf{a}}_\delta &= (\tilde{a}_1, \tilde{a}_2) := (\text{coeff}(a_1, l) \alpha^\delta, \text{coeff}(a_2, l)) \\ \tilde{\mathbf{f}}_\delta &:= (\text{coeff}(f_1, \delta + l), \dots, \text{coeff}(f_n, \delta + l)). \end{aligned} \quad (4)$$

where  $\mathbf{0} \neq \tilde{\mathbf{a}}_\delta \in \mathbb{F}^2$  and  $\tilde{\mathbf{f}}_\delta \in \mathbb{F}^n$ ;  $\text{coeff}(p, l)$  gives the  $l$ -th coefficient of  $p \in \mathbb{F}[t]$ . Then there is the following crucial observation for a solution  $\mathbf{c} \in \mathbb{K}^n$  and  $g = \sum_{i=0}^{\delta} g_i t^i \in \mathbb{F}[t]_\delta$  of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta)$ ; see [9, 12]: Since  $t$  is transcendental over  $\mathbb{F}$ , it follows by coefficient comparison that  $\tilde{a}_1 \sigma(g_\delta) + \tilde{a}_2 g_\delta = \mathbf{c} \tilde{\mathbf{f}}_\delta$  which means that  $(c_1, \dots, c_n, g_\delta) \in V(\tilde{\mathbf{a}}_\delta, \tilde{\mathbf{f}}_\delta, \mathbb{F})$ . Therefore, the right linear combinations of a basis of  $V(\tilde{\mathbf{a}}_\delta, \tilde{\mathbf{f}}_\delta, \mathbb{F})$  enable one to construct partially the solutions  $(c_1, \dots, c_n, g) \in V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta)$ , namely  $(c_1, \dots, c_n) \in \mathbb{K}^n$  with the  $\delta$ -th coefficient  $g_\delta$  in  $g \in \mathbb{F}[t]_\delta$ . So, the basic idea is to find first a basis  $B_1$  of  $V(\tilde{\mathbf{a}}_\delta, \tilde{\mathbf{f}}_\delta, \mathbb{F})$ .

• **CASE A:**  $B_1 = \{\}$ . Then there are no  $g \in \mathbb{F}[t]_\delta$  and  $\mathbf{0} \neq \mathbf{c} \in \mathbb{K}^n$  with  $a_1 \sigma(g) + a_2 g = \mathbf{c} \mathbf{f}$ , and thus  $\mathbf{c} = \mathbf{0}$  and  $g \in \mathbb{F}[t]_{\delta-1}$  with  $a_1 \sigma(g) + a_2 g = 0$  give the only solutions; see [12]. Hence, take a basis  $B_2$  of  $V(\mathbf{a}, \mathbf{f}_{\delta-1}, \mathbb{F}[t]_{\delta-1})$  with

$$\mathbf{f}_{\delta-1} := (0)$$

and try to extract such a  $g \in \mathbb{F}[t]_{\delta-1}^*$  from  $B_2$ . If possible, a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta)$  is  $(0, \dots, 0, g)$ . Otherwise,  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta) = \{\mathbf{0}_{n+1}\}$ .

• **CASE B:**  $B_1 \neq \{\}$ , say  $B_1 = \{(c_{i1}, \dots, c_{in}, w_i)\}_{1 \leq i \leq \lambda}$ . Then define  $\mathbf{C} := (c_{ij}) \in \mathbb{K}^{\lambda \times n}$ ,  $\mathbf{g} := (w_1 t^\delta, \dots, w_\lambda t^\delta) \in t^\delta \mathbb{F}^\lambda$  and consider

$$\mathbf{f}_{\delta-1} := \mathbf{C} \mathbf{f} - (a_1 \sigma(\mathbf{g}) + a_2 \mathbf{g}). \quad (5)$$

By construction it follows that  $\mathbf{f}_{\delta-1} \in \mathbb{F}[t]_{\delta+l-1}^\lambda$ . Now we proceed as follows. We try to determine exactly those  $h \in \mathbb{F}[t]_{\delta-1}$  and  $\mathbf{d} \in \mathbb{K}^\lambda$  that fulfill  $a_1 \sigma(h + \mathbf{d} \mathbf{g}) + a_2 (h + \mathbf{d} \mathbf{g}) = \mathbf{d} \mathbf{C} \mathbf{f}$  which is equivalent to  $a_1 \sigma(h) + a_2 h = \mathbf{d} \mathbf{f}_{\delta-1}$ . For this task, we take a basis  $B_2$  of

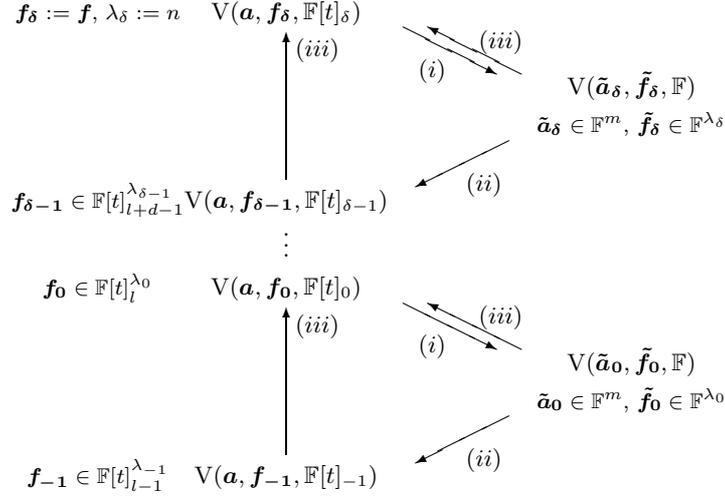


FIGURE 1. Incremental reduction

$V(\mathbf{a}, \mathbf{f}_{\delta-1}, \mathbb{F}[t]_{\delta-1})$ .

★ CASE B.a:  $B_2 = \{\}$ . Then  $V(\mathbf{a}, \mathbf{f}_{\delta}, \mathbb{F}[t]_{\delta}) = \{\mathbf{0}_{n+1}\}$ .

★ CASE B.b:  $B_2 \neq \{\}$ , say  $B_2 = \{(d_{i1}, \dots, d_{i\lambda}, h_i)\}_{1 \leq i \leq \mu}$ . Then define  $\mathbf{D} := (d_{ij}) \in \mathbb{K}^{\mu \times \lambda}$ ,  $\mathbf{h} := (h_1, \dots, h_{\mu}) \in \mathbb{F}[t]_{\delta-1}^{\mu}$  which gives  $a_1 \sigma(\mathbf{h} + \mathbf{D}\mathbf{g}) + a_2 (\mathbf{h} + \mathbf{D}\mathbf{g}) = \mathbf{D}\mathbf{C}\mathbf{f}$ . Now define  $\kappa_{ij} \in \mathbb{K}$  and  $p_i \in \mathbb{F}[t]_{\delta}^{\mu}$  with

$$\begin{pmatrix} \kappa_{11} & \dots & \kappa_{1n} \\ \vdots & & \vdots \\ \kappa_{\mu 1} & \dots & \kappa_{\mu n} \end{pmatrix} := \mathbf{D}\mathbf{C}, \quad (p_1, \dots, p_{\mu}) := \mathbf{h} + \mathbf{D}\mathbf{g}. \quad (6)$$

Then by the above considerations it follows that  $B_3 := \{(\kappa_{i1}, \dots, \kappa_{in}, p_i)\}_{1 \leq i \leq \mu}$  spans a subspace of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_{\delta})$  over  $\mathbb{K}$ . By linear algebra arguments one can even show that  $B_3$  is a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_{\delta})$  over  $\mathbb{K}$ . This polynomial degree reduction is the inner core of Karr's summation algorithm given in [6]. A complete proof can be found in [12].

Summarizing, let  $(\mathbb{F}(t), \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$ ,  $\mathbf{a} \in (\mathbb{F}[t]^*)^2$  with  $l := \|\mathbf{a}\|$  and  $\mathbf{f} \in \mathbb{F}[t]_{\delta+l}^n$  for some  $\delta \in \mathbb{N}_0 \cup \{-1\}$ . Then we can apply this reduction technique step by step and obtain an *incremental reduction* of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_{\delta})$  given in Figure 1. We call  $\{(\mathbf{a}, \mathbf{f}_{\delta}, \mathbb{F}[t]_{\delta}), \dots, (\mathbf{a}, \mathbf{f}_{-1}, \mathbb{F}[t]_{-1})\}$  the *incremental tuples* and  $\{(\tilde{\mathbf{a}}_{\delta}, \tilde{\mathbf{f}}_{\delta}, \mathbb{F}), \dots, (\tilde{\mathbf{a}}_0, \tilde{\mathbf{f}}_0, \mathbb{F})\}$  the *coefficient tuples* of such an incremental reduction.

**Base case I.** In the incremental reduction we finally reach the problem to find a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_{-1})$  with  $\mathbb{F}[t]_{-1} = \{0\}$ . Then we have  $V(\mathbf{a}, \mathbf{f}, \{0\}) = \text{Nullspace}_{\mathbb{K}}(\mathbf{f}) \times \{0\}$  where  $\text{Nullspace}_{\mathbb{K}}(\mathbf{f}) = \{\mathbf{k} \in \mathbb{K}^n \mid \mathbf{f}\mathbf{k} = 0\}$ . Note that a basis of  $V(\mathbf{a}, \mathbf{f}, \{0\})$  can be computed by linear algebra if  $(\mathbb{F}, \sigma)$  is a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ ; for more details see [12].

**Example 2.** Take the  $\Pi\Sigma$ -field  $(\mathbb{Q}(t_1)(t_2), \sigma)$  over  $\mathbb{Q}$  from Example 1 and write  $\mathbb{F} := \mathbb{Q}(t_1)$ . With our reduction strategy we will find a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t_2))$  for  $\mathbf{a} = (1, -1) \in \mathbb{F}(t_2)^2$  and  $\mathbf{f} = (\sigma(t_2/t_1)) = (\frac{1+(t_1+1)t_2}{(t_1+1)^2}) \in \mathbb{F}(t_2)^1$ . Clearing denominators gives the vectors  $\mathbf{a} = ((t_1+1)^2, -(t_1+1)^2) \in \mathbb{F}[t_2]^2$ ,  $\mathbf{f} = (1 + (t_1+1)t_2) \in \mathbb{F}[t_2]^1$ . A denominator bound of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t_2))$  is 1, and a degree bound of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t_2])$  is 2. Now we start the incremental reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}[t_2]_2)$ . For the incremental tuple  $(\mathbf{a}, \mathbf{f}_2, \mathbb{F}[t_2]_2)$  with  $\mathbf{f}_2 := \mathbf{f} \in \mathbb{F}[t_2]_2^1$

we obtain the coefficient tuple  $(\mathbf{a}, (0), \mathbb{F})$ . The basis  $\{(1, 0), (0, 1)\}$  of  $V(\mathbf{a}, (0), \mathbb{F})$  gives  $\mathbf{C} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \in \mathbb{K}^{2 \times 1}$ ,  $\mathbf{g} = (0, t_2^2) \in \mathbb{F}[t_2]_2^2$ . This defines the incremental tuple  $(\mathbf{a}, \mathbf{f}_1, \mathbb{F}[t_2]_1)$  with  $\mathbf{f}_1 = (1 + (t_1 + 1)t_2, -1 - 2(t_1 + 1)t_2) \in \mathbb{F}[t_2]_1^2$  and the coefficient tuple  $(\mathbf{a}, (t_1 + 1, -2(t_1 + 1)), \mathbb{F})$ . Then taking the basis  $\{(2, 1, 0), (0, 0, 1)\}$  of  $V(\mathbf{a}, (1, -2), \mathbb{F})$ , one obtains  $\mathbf{f}_0 = (1, -t_1 - 1) \in \mathbb{F}_0^2$ , the incremental tuple  $(\mathbf{a}, \mathbf{f}_0, \mathbb{F}[t_2]_0)$  and the coefficient tuple  $(\mathbf{a}, \mathbf{f}_0, \mathbb{F})$ . A basis of the solution space  $V(\mathbf{a}, \mathbf{f}_0, \mathbb{F})$  is  $\{(0, 0, 1)\}$  which defines  $\mathbf{f}_{-1} = (0)$ . Finally, a basis of  $V(\mathbf{a}, \mathbf{f}_{-1}, \{0\})$  is  $\{(1, 0)\}$ . This gives the basis  $\{(0, 0, 1)\}$  of  $V(\mathbf{a}, \mathbf{f}_i, \mathbb{F}[t_2]_i)$  for  $i \in \{0, 1\}$  and therefore the basis  $\{(0, 1)\}$  of  $V(\mathbf{a}, \mathbf{f}_2, \mathbb{F}[t_2]_2)$  and  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t_2))$ .

**A reduction to  $\mathbb{F}$ .** Suppose that we have given not only a single but a nested  $\Pi\Sigma$ -extension  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  of  $(\mathbb{F}, \sigma)$  where we write  $\mathbb{F}_i := \mathbb{F}(t_1) \dots (t_i)$  for  $0 \leq i \leq e$ , i.e.,  $\mathbb{F}_0 = \mathbb{F}$ . Let  $\mathbf{0} \neq \mathbf{a} = (a_1, a_2) \in \mathbb{F}_e$  and  $\mathbf{f} \in \mathbb{F}_e^n$ . Then we understand by a *reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}_e)$  to  $\mathbb{F}$*  a recursive application of the above reductions. More precisely, if  $e = 0$ , we do nothing. Otherwise, suppose that  $e > 0$ . If  $a_1 a_2 = 0$ , we just apply the special case from above. Otherwise, within our reduction there is a denominator bound  $d \in \mathbb{F}_{e-1}[t_e]^*$  which reduces the problem to find a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}_e)$  to find one for  $V(\mathbf{a}', \mathbf{f}', \mathbb{F}_{e-1}[t_e])$  for some  $\mathbf{a}' \in (\mathbb{F}_{e-1}[t_e]^*)^2$  and  $\mathbf{f}' \in \mathbb{F}_{e-1}[t_e]^n$ ; those are given by setting  $\mathbf{a}' := (a_1/\sigma(d), a_2/d)$ ,  $\mathbf{f}' := \mathbf{f}$  and clearing denominators and cancelling common factors. Next, with a degree bound  $b$  of  $V(\mathbf{a}', \mathbf{f}', \mathbb{F}_{e-1}[t_e])$  the incremental reduction of  $(\mathbf{a}', \mathbf{f}', \mathbb{F}_{e-1}[t_e]_b)$  is applied. Within this reduction the coefficient tuples  $(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}_{e-1})$  for  $0 \leq i \leq b$  give the subreductions of  $(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}_{e-1})$  to  $\mathbb{F}$  for  $0 \leq i \leq b$  that define recursively the whole reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}_e)$  to  $\mathbb{F}$ .

We call  $T$  the *tuple set* of a reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}_e)$  to  $\mathbb{F}$  if besides  $(\mathbf{a}, \mathbf{f}, \mathbb{F}_e) \in T$  the set  $T$  contains exactly all those coefficient tuples that occur in the recursively applied incremental reductions. Moreover, for  $\mathbf{a}_e := \mathbf{a}$  and  $\mathbf{f}_e := \mathbf{f}$  we call  $\{(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}_i)\}_{r \leq i \leq e} \subseteq T$  *path-tuples* of  $(\mathbf{a}_r, \mathbf{f}_r, \mathbb{F}_r) \in T$  if in the subreduction of  $(\mathbf{a}_{i+1}, \mathbf{f}_{i+1}, \mathbb{F}_{i+1})$  to  $\mathbb{F}$  the coefficient tuple  $(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}_i)$  occurs for each  $r \leq i < e$  in the incremental reduction. Finally, we introduce the  $\mathbb{F}_r$ -*critical tuple set*  $S$  in a reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}_e)$  to  $\mathbb{F}$  as that subset of the tuple set  $T$  of the reduction to  $\mathbb{F}$  that contains all  $(\mathbf{a}', \mathbf{f}', \mathbb{F}_r) \in T$  with the following property: for its path-tuples  $\{(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}_i)\}_{r \leq i \leq e}$  we have that  $\mathbf{a}_i$  is homogeneous for all  $r \leq i \leq e$ . Summarizing, we obtain the following method that generates a reduction to  $\mathbb{F}$ .

**Algorithm 1.** `SolveSolutionSpace` $((\mathbb{F}(t_1) \dots (t_e), \sigma), \mathbf{a}, \mathbf{f})$

**Input:** A  $\Pi\Sigma$ -extension  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  of  $(\mathbb{F}, \sigma)$  with  $\mathbb{K} := \text{const}_{\sigma}\mathbb{F}$ ;  $\mathbf{0} \neq \mathbf{a} = (a_1, a_2) \in \mathbb{F}(t_1) \dots (t_e)^2$  and  $\mathbf{f} \in \mathbb{F}(t_1) \dots (t_e)^n$ .

**Output:** A basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t_1) \dots (t_e))$  over  $\mathbb{K}$ .

(1) IF  $e = 0$  compute a basis  $B$  of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F})$  and RETURN  $B$ . FI

Let  $\mathbb{H} := \mathbb{F}(t_1) \dots (t_{e-1})$ , i.e.  $(\mathbb{H}(t_e), \sigma)$  is a  $\Pi\Sigma$ -ext. of  $(\mathbb{H}, \sigma)$ .

(2) IF  $a_1 a_2 = 0$  THEN set  $\mathbf{g} := \frac{\mathbf{f}}{a_2}$  if  $a_2 \neq 0$ , otherwise set  $\mathbf{g} := \frac{\sigma(\mathbf{f})}{a_2}$ ; with  $\mathbf{g} = (g_1, \dots, g_n)$  RETURN  $\{(0 \dots, 1, \dots, 0, g_i)\}_{1 \leq i \leq n}$ . FI

(3) Clear denominators and common factors s.t.  $\mathbf{a} \in (\mathbb{H}[t_e]^*)^2$ ,  $\mathbf{f} \in \mathbb{H}[t_e]^n$ .

(4) Compute a denominator bound  $d \in \mathbb{H}[t_e]^*$  of  $V(\mathbf{a}, \mathbf{f}, \mathbb{H}(t_e))$ .

(5) Set  $\mathbf{a}' := (a_1/\sigma(d), a_2/d) \in \mathbb{H}(t_e)^2$ ,  $\mathbf{f}' := \mathbf{f}$  and clear denominators and common factors s.t.  $\mathbf{a}' \in (\mathbb{H}[t_e]^*)^2$  and  $\mathbf{f}' \in \mathbb{H}[t_e]^n$ .

(6) Compute a degree bound  $b$  of  $V(\mathbf{a}', \mathbf{f}', \mathbb{H}[t_e])$ .

(7) Compute a basis  $B := \text{IncrementalReduction}((\mathbb{H}(t_e), \sigma), b, \mathbf{a}', \mathbf{f}')$  by using Algorithm 2; say  $B = \{(\kappa_{i1}, \dots, \kappa_{in}, p_i)\}_{1 \leq i \leq \mu}$ .

(8) IF  $B = \{\}$  THEN RETURN  $\{\}$  ELSE RETURN  $\{(\kappa_{i1}, \dots, \kappa_{in}, \frac{p_i}{d})\}_{1 \leq i \leq \mu}$ . FI

**Algorithm 2.**  $\text{IncrementalReduction}((\mathbb{F}(t_1) \dots (t_e), \sigma), \delta, \mathbf{a}, \mathbf{f})$

**Input:** A  $\Pi\Sigma$ -extension  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  of  $(\mathbb{F}, \sigma)$  with  $\mathbb{K} := \text{const}_\sigma \mathbb{F}$ ;  $\delta \in \mathbb{N}_0 \cup \{-1\}$ ;  $\mathbf{a} = (a_1, a_2) \in (\mathbb{F}(t_1) \dots (t_{e-1})[t_e]^*)^2$  with  $l := \|\mathbf{a}\|$  and  $\mathbf{f} \in \mathbb{F}(t_1) \dots (t_{e-1})[t_e]_{l+\delta}^n$ .

**Output:** A basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}[t]_\delta)$  over  $\mathbb{K}$ .

(1) IF  $d = -1$ , RETURN a basis of  $\text{Nullspace}_{\mathbb{K}}(\mathbf{f}) \times \{0\}$  over  $\mathbb{K}$ . FI

Let  $\mathbb{H} := \mathbb{F}(t_1) \dots (t_{e-1})$ , i.e.  $(\mathbb{H}(t_e), \sigma)$  is a  $\Pi\Sigma$ -ext. of  $(\mathbb{H}, \sigma)$ .

(2) Define  $\mathbf{0} \neq \tilde{\mathbf{a}}_\delta \in \mathbb{H}^2$  and  $\tilde{\mathbf{f}}_\delta \in \mathbb{H}^n$  as in (4).

(3) Compute  $B_1 := \text{SolveSolutionSpace}((\mathbb{H}, \sigma), \tilde{\mathbf{a}}_\delta, \tilde{\mathbf{f}}_\delta)$  with Alg. 1.

(4) IF  $B_1 = \{\}$  THEN

(5) Compute  $B_2 := \text{IncrementalReduction}((\mathbb{H}(t_e), \sigma), \delta - 1, \mathbf{a}, (0))$ .

(6) IF an  $h \in \mathbb{H}[t_e]_{\delta-1}$  with  $a_1 \sigma(h) + a_2 h = 0$  is found THEN

RETURN  $\{(0, \dots, 0, h)\} \subset \mathbb{K}^n \times \mathbb{H}[t_e]_{\delta-1}$  ELSE RETURN  $\{\}$  FI

FI

(7) With  $B_1 = \{(c_{i1}, \dots, c_{in}, w_i)\}_{1 \leq i \leq \lambda}$  define  $\mathbf{C} := (c_{ij}) \in \mathbb{K}^{\lambda \times n}$ ,  $\mathbf{g} := (w_1 t_e^\delta, \dots, w_\lambda t_e^\delta) \in t_e^\delta \mathbb{H}^\lambda$ , and  $\mathbf{f}_{\delta-1} \in \mathbb{H}[t_e]_{\delta-1}^\lambda$  as in (5).

(8) Compute  $B_2 := \text{IncrementalReduction}((\mathbb{H}(t_e), \sigma), \delta - 1, \mathbf{a}, \mathbf{f}_{\delta-1})$ .

(9) IF  $B_2 = \{\}$  THEN RETURN  $\{\}$  FI

(10) Let  $B_2 = \{(d_{i1}, \dots, d_{i\lambda}, h_i)\}_{1 \leq i \leq \mu}$  and define  $\mathbf{D} := (d_{ij}) \in \mathbb{K}^{\mu \times \lambda}$ ,  $\mathbf{h} := (h_1, \dots, h_\mu) \in \mathbb{H}[t_e]_{\delta-1}^\mu$ . Define  $\kappa_{ij} \in \mathbb{K}$  for  $1 \leq i \leq \mu$ ,  $1 \leq j \leq n$  and  $p_i \in \mathbb{H}[t_e]_\delta$  for  $1 \leq i \leq \mu$  as in (6).

(11) RETURN  $\{(\kappa_{i1}, \dots, \kappa_{in}, p_i)\}_{1 \leq i \leq \mu}$

If the denominator bound problem and polynomial degree bound problem can be solved in the  $\Pi\Sigma$ -extensions  $(\mathbb{F}_i, \sigma)$  of  $(\mathbb{F}_{i-1}, \sigma)$  for  $1 \leq i \leq e$  and one can compute a basis of any solution space in  $(\mathbb{F}, \sigma)$ , Algorithms 1 and 2 give an algorithm to compute a basis of a solution space  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}_e)$ . In particular these algorithms give a reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}_e)$  to  $\mathbb{F}$ . Moreover, by taking all  $(\mathbf{a}, \mathbf{f}, \mathbb{F}_i)$  when calling Algorithm 1, one gets the reduction tuple set of this reduction. Furthermore, if one stops collecting tuples in the subreductions of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}_i)$  to  $\mathbb{F}$  when  $\mathbf{a}$  is inhomogeneous, one can extract the  $\mathbb{F}_r$ -critical tuples in this reduction.

Now assume that  $(\mathbb{F}, \sigma)$  is a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ , i.e.,  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  is a  $\Pi\Sigma$ -field over  $\mathbb{K}$ . Then by Theorem 4 there are algorithms to solve the denominator and polynomial degree bound problem. Moreover, for the special case  $\mathbb{F} = \mathbb{K}$  there is the following

**Base case II.** If  $\text{const}_\sigma \mathbb{K} = \mathbb{K}$ ,  $\mathbf{0} \neq \mathbf{a} = (a_1, a_2) \in \mathbb{K}^2$  and  $\mathbf{f} = (f_1, \dots, f_n) \in \mathbb{K}^n$  then  $V(\mathbf{a}, \mathbf{f}, \mathbb{K}) = \text{Nullspace}_{\mathbb{K}}(\mathbf{f}')$  for  $\mathbf{f}' = (f_1, \dots, f_n, -(a_1 + a_2))$ . A basis can be computed by linear algebra; see [10].

Hence, with Algorithms 1 and 2 one can compute a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t_1) \dots (t_e))$  in a  $\Pi\Sigma$ -field  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  over a  $\sigma$ -computable  $\mathbb{K}$  and can extract the  $\mathbb{F}$ -critical tuples of the corresponding reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{F}(t_1) \dots (t_e))$  to  $\mathbb{F}$ .

Finally, we introduce reductions to  $\mathbb{F}$  that are extension-stable. Let  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$ ,  $\mathbf{a} \in (\mathbb{H}[t_e]^*)^2$  and  $\mathbf{f} \in \mathbb{H}[t_e]^n$  for  $\mathbb{H} := \mathbb{F}(t_1) \dots (t_{e-1})$ . We call a denominator bound  $d \in \mathbb{H}[t_e]^*$  of  $V(\mathbf{a}, \mathbf{f}, \mathbb{H}(t_e))$  or a degree bound  $b$  of  $V(\mathbf{a}, \mathbf{f}, \mathbb{H}[t_e])$  extension-stable over  $\mathbb{F}$  if  $\mathbf{a}$  is inhomogeneous over  $\mathbb{H}(t_e)$  or the following holds. Take any  $\Sigma^*$ -extension  $(\mathbb{F}(t_1) \dots (t_e)(s), \sigma)$  of  $(\mathbb{F}(t_1) \dots (t_e), \sigma)$  over  $\mathbb{F}$ , and embed  $\mathbf{a}, \mathbf{f}$  in the reordered

$\Pi\Sigma$ -ext.  $(\mathbb{F}(s)(t_1)\dots(t_e), \sigma)$  of  $(\mathbb{F}, \sigma)$ . Then also  $d$  embedded in  $\mathbb{F}(s)(t_1)\dots(t_e)$  must be a denominator bound of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(s)(t_1)\dots(t_e))$ . Similarly,  $b$  must be a degree bound of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(s)(t_1)\dots(t_{e-1})[t_e])$ .

We call a reduction of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(t_1)\dots(t_e))$  to  $\mathbb{F}$  *extension-stable* if all denominator and degree bounds within the reduction to  $\mathbb{F}$  are extension-stable over  $\mathbb{F}$ .

It has been shown in [10, Theorem 8.2] and [11, Theorem 7.3] that the algorithms proposed in [6] already compute extension-stable denominator and degree bounds in a  $\Pi\Sigma$ -field. Summarizing, we obtain

**Theorem 5.** *Let  $(\mathbb{E}, \sigma)$  be a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ ,  $\mathbf{0} \neq \mathbf{a} \in \mathbb{E}^2$  and  $\mathbf{f} \in \mathbb{E}^n$ . Then with Algorithms 1 and 2 one can compute a basis of  $V(\mathbf{a}, \mathbf{f}, \mathbb{E})$  with an extension-stable reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{E})$  to  $\mathbb{F}$ . Moreover, during this computation, one can extract the  $\mathbb{F}$ -critical tuples.*

**Example 3.** *In Example 2 the denominator and degree bounds are extension-stable. Consequently, this reduction of  $((1, -1), (\sigma(t_2/t_1), \mathbb{F}(t_2)))$  to  $\mathbb{F}$  is extension-stable. The  $\mathbb{F}$ -critical tuples are  $((t_1 + 1)^2, -(t_1 + 1)^2), \mathbf{f}, \mathbb{F}$  for  $\mathbf{f} \in \{(0), (t_1 + 1, -2(t_1 + 1)), (1, -(t_1 + 1))\}$ .*

## 5. REFINED SUMMATION ALGORITHMS

In the sequel let  $(\mathbb{E}, \sigma)$  with  $\mathbb{E} := \mathbb{F}(t_1)\dots(t_e)$  be a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$  and  $\mathbf{f} \in \mathbb{E}^n$ . Then in Theorem 6 we will develop a constructive criterium which tells us if a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  is  $\mathbb{F}$ -complete for  $\mathbf{f}$  and how such an extension can be constructed. For this task we first compute a basis of  $V := V((1, -1), \mathbf{f}, \mathbb{E})$  with Algorithms 1 and 2 together with an extension-stable reduction of  $((1, -1), \mathbf{f}, \mathbb{E})$  to  $\mathbb{F}$ ; see Theorem 5. If the dimension of  $V$  is  $n + 1$ , the trivial extension  $(\mathbb{E}, \sigma)$  of  $(\mathbb{E}, \sigma)$  is clearly  $\mathbb{F}$ -complete for  $\mathbf{f}$ . Otherwise, we extract the  $\mathbb{F}$ -critical tuple set in our extension-stable reduction; see Theorem 5. Then the crucial observation is stated in Proposition 1 that depends on Lemma 3. This lemma is a special case of Karr's Fundamental Theorem [6, 7]; for a proof see [9, Proposition 4.1.2].

**Lemma 3.** *If  $(\mathbb{E}, \sigma)$  is a  $\Sigma^*$ -extension of  $(\mathbb{F}, \sigma)$ ,  $\mathbf{0} \neq \mathbf{a} \in \mathbb{F}^2$  inhomogeneous over  $\mathbb{F}$  and  $\mathbf{f} \in \mathbb{F}^n$  then  $V(\mathbf{a}, \mathbf{f}, \mathbb{E}) = V(\mathbf{a}, \mathbf{f}, \mathbb{F})$ .*

**Proposition 1.** *Let  $(\mathbb{E}(s), \sigma)$  with  $\mathbb{E} := \mathbb{F}(t_1)\dots(t_e)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$  with  $\sigma(s) - s \in \mathbb{F}$  and consider the reordered  $\Pi\Sigma$ -extension  $(\mathbb{F}(s)(t_1)\dots(t_e), \sigma)$  of  $(\mathbb{F}, \sigma)$ . Let  $\mathbf{a} \in \mathbb{E}^2$  be homogeneous over  $\mathbb{E}$ ,  $\mathbf{f} \in \mathbb{E}^n$ , and let  $S$  be an  $\mathbb{F}$ -critical tuple set of an extension-stable reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{E})$  to  $\mathbb{F}$ . If for all  $(\mathbf{a}', \mathbf{f}', \mathbb{F}) \in S$  we have  $V(\mathbf{a}', \mathbf{f}', \mathbb{F}) = V(\mathbf{a}', \mathbf{f}', \mathbb{F}(s))$  then  $V(\mathbf{a}, \mathbf{f}, \mathbb{E}) = V(\mathbf{a}, \mathbf{f}, \mathbb{E}(s)) = V(\mathbf{a}, \mathbf{f}, \mathbb{F}(s)(t_1)\dots(t_e))$ .*

*Proof.* The proof will be done by induction on the number  $e$  of extensions  $\mathbb{F}(t_1)\dots(t_e)$ . First consider the case  $e = 0$ . Since  $\mathbf{a}$  is homogeneous,  $(\mathbf{a}, \mathbf{f}, \mathbb{F}) \in S$  and therefore  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(s)) = V(\mathbf{a}, \mathbf{f}, \mathbb{F})$ . Now assume that the proposition holds for  $e \geq 0$ . Let  $(\mathbb{F}(t_1)\dots(t_e)(t_{e+1})(s), \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$  with  $\sigma(s) - s \in \mathbb{F}$  and consider the reordered  $\Pi\Sigma$ -extension  $(\mathbb{F}(s)(t_1)\dots(t_e)(t_{e+1}), \sigma)$  of  $(\mathbb{F}, \sigma)$ . We write  $\mathbb{E} := \mathbb{F}(t_1)\dots(t_e)$  and  $\mathbb{H} := \mathbb{F}(s)(t_1)\dots(t_e)$  as shortcut. Let  $\mathbf{a} \in \mathbb{E}(t_{e+1})^2$  be homogeneous over  $\mathbb{E}(t_{e+1})$ ,  $\mathbf{f} \in \mathbb{E}(t_{e+1})^n$ , and take any extension-stable reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{E}(t_{e+1}))$  to  $\mathbb{F}$  with the  $\mathbb{F}$ -critical tuple set  $S$ . Now suppose that  $V(\mathbf{a}', \mathbf{f}', \mathbb{F}) = V(\mathbf{a}', \mathbf{f}', \mathbb{F}(s))$  for all  $(\mathbf{a}', \mathbf{f}', \mathbb{F}) \in S$ . Then we will show that

$$V(\mathbf{a}, \mathbf{f}, \mathbb{E}(t_{e+1})) = V(\mathbf{a}, \mathbf{f}, \mathbb{H}(t_{e+1})). \quad (7)$$

In the extension-stable reduction let  $d \in \mathbb{E}[t_{e+1}]^*$  be the denominator bound of the solution space  $V(\mathbf{a}, \mathbf{f}, \mathbb{E}(t_{e+1}))$ . Since  $\mathbf{a}$  is homogeneous over  $\mathbb{E}(t_{e+1})$ ,  $d \in \mathbb{H}[t_{e+1}]$  is also a denominator

bound of  $V(\mathbf{a}, \mathbf{f}, \mathbb{H}(t_{e+1}))$ . After clearing denominators and cancelling common factors, we get  $\mathbf{a}' := (a_1/\sigma(d), a_2/d)q \in \mathbb{E}[t_{e+1}]^2$  and  $\mathbf{f}' := \mathbf{f}q \in \mathbb{E}[t_{e+1}]^n$  for some  $q \in \mathbb{E}(t_{e+1})^*$  in our reduction. Note that  $\mathbf{a}'$  is still homogeneous over  $\mathbb{E}(t_{e+1})$ . This follows from the fact that if for  $h \in \mathbb{E}(t_{e+1})$  we have  $a_1 \sigma(h) + a_2 h = 0$  then  $a'_1 \sigma(hd) + a'_2 hd = 0$ . Now it suffices to show that  $V(\mathbf{a}', \mathbf{f}', \mathbb{H}[t_{e+1}]) = V(\mathbf{a}', \mathbf{f}', \mathbb{E}[t_{e+1}])$ , in order to show (7). In the given reduction let  $b$  be the degree bound of  $V(\mathbf{a}', \mathbf{f}', \mathbb{E}[t_{e+1}])$ . Since  $\mathbf{a}'$  is homogeneous over  $\mathbb{E}(t_{e+1})$ ,  $b$  is a degree bound of  $V(\mathbf{a}', \mathbf{f}', \mathbb{H}[t_{e+1}])$  too. Hence, if  $V(\mathbf{a}', \mathbf{f}', \mathbb{E}[t_{e+1}]_b) = V(\mathbf{a}', \mathbf{f}', \mathbb{H}[t_{e+1}]_b)$ , also (7) is proven. Let  $((\mathbf{a}, \mathbf{f}_b, \mathbb{E}[t_{e+1}]_b), \dots, (\mathbf{a}, \mathbf{f}_{-1}, \mathbb{E}[t_{e+1}]_{-1}))$  be the incremental tuples and  $((\tilde{\mathbf{a}}_b, \tilde{\mathbf{f}}_b, \mathbb{E}), \dots, (\tilde{\mathbf{a}}_0, \tilde{\mathbf{f}}_0, \mathbb{E}))$  be the coefficient-tuples in the incr. reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{E}[t_{e+1}]_b)$ . We show that  $V(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{E}) = V(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{H})$  for all  $0 \leq i \leq b$ . By reordering of the difference field  $(\mathbb{F}(t_1) \dots (t_{e+1})(s), \sigma)$  we get the  $\Pi\Sigma$ -extension  $(\mathbb{F}(t_1) \dots (t_e)(s)(t_{e+1}), \sigma)$  of  $(\mathbb{F}, \sigma)$ . First suppose that  $\tilde{\mathbf{a}}_i$  is inhomogeneous over  $\mathbb{E}$ . Hence,  $V(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{E}) = V(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{E}(s))$  by Lemma 3, and therefore  $V(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{E}) = V(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{H})$  by  $(\mathbb{F}(t_1) \dots (t_e)(s), \sigma) \simeq (\mathbb{F}(s)(t_1) \dots (t_e), \sigma)$ . Otherwise, assume that  $\tilde{\mathbf{a}}_i$  is homogeneous over  $\mathbb{E}$ . Then the extension-stable reduction of  $(\mathbf{a}, \mathbf{f}, \mathbb{E}(t_{e+1}))$  to  $\mathbb{F}$  contains an extension-stable reduction of  $(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{E})$  to  $\mathbb{F}$  and the  $\mathbb{F}$ -critical tuple set of the reduction of  $(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{E})$  is a subset of  $S$ . Hence with the induction assumption it follows that  $V(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{E}) = V(\tilde{\mathbf{a}}_i, \tilde{\mathbf{f}}_i, \mathbb{H})$ . Since  $\mathbb{E}[t_{e+1}]_{-1} = \mathbb{H}[t_{e+1}]_{-1} = \{0\}$ , we have  $V(\mathbf{a}, \mathbf{f}_{-1}, \mathbb{E}[t_{e+1}]_{-1}) = V(\mathbf{a}, \mathbf{f}_{-1}, \mathbb{H}[t_{e+1}]_{-1})$ . Then by the construction of the incremental reduction we can conclude that  $V(\mathbf{a}, \mathbf{f}_i, \mathbb{E}[t_{e+1}]_i) = V(\mathbf{a}, \mathbf{f}_i, \mathbb{H}[t_{e+1}]_i)$  for all  $-1 \leq i \leq b$  and therefore we have proven (7). With reordering  $(\mathbb{F}(s)(t_1) \dots (t_{e+1}), \sigma) \simeq (\mathbb{F}(t_1) \dots (t_{e+1})(s), \sigma)$ , it follows  $V(\mathbf{a}, \mathbf{f}, \mathbb{E}(t_{e+1})) = V(\mathbf{a}, \mathbf{f}, \mathbb{E}(t_{e+1})(s))$ .  $\square$

Consequently we have  $V((1, -1), \mathbf{f}, \mathbb{E}) \subsetneq V((1, -1), \mathbf{f}, \mathbb{E}(s))$  for a  $\Sigma^*$ -extension  $(\mathbb{E}(s), \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  if  $V(\mathbf{a}', \mathbf{f}', \mathbb{F}) \subsetneq V(\mathbf{a}', \mathbf{f}', \mathbb{F}(s))$  in one of its  $\mathbb{F}$ -critical tuples  $(\mathbf{a}', \mathbf{f}', \mathbb{F})$  in an extension-stable reduction to  $\mathbb{F}$ .

**Example 4.** Consider the  $\Pi\Sigma$ -fields from Example 1, 2 and 3. By Example 1 it follows that  $V((1, -1), (\frac{\sigma(t_2)}{t_1}), \mathbb{F}(t_2))$  is a proper subset of  $V((1, -1), (\frac{\sigma(t_2)}{t_1}), \mathbb{F}(t_2)(s))$ . Hence looking at the  $\mathbb{F}$ -critical tuples of our extension stable reduction in Example 3, we know by Proposition 1 that there is an  $\mathbf{f} \in \{(0, (t_1 + 1, -2(t_1 + 1)), (1, -(t_1 + 1)))\}$  such that  $V(\mathbf{a}, \mathbf{f}, \mathbb{F})$  with  $\mathbf{a} = ((t_1 + 1)^2, -(t_1 + 1)^2)$  is a proper subset of  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}(s))$ . Indeed, we can choose  $\mathbf{f} = (1, -(t_1 + 1))$  since there does not exist a  $g \in \mathbb{F}$  with  $\sigma(g) - g = \frac{1}{(t_1 + 1)^2}$ , but there is the solution  $g = s \in \mathbb{F}(s)$ .

Next we provide a sufficient condition in Proposition 2 which tells us if a  $\Sigma^*$ -extension cannot contribute further to a given solution space.

**Proposition 2.** Let  $(\mathbb{F}, \sigma)$  be a difference field with  $\mathbf{a} = (a_1, a_2) \in \mathbb{F}^2$  homogeneous over  $\mathbb{F}$  and  $\mathbf{f} = (f_1, \dots, f_n) \in \mathbb{F}^n$ . If for all  $1 \leq i \leq n$  there is a  $g \in \mathbb{F}^*$  with  $a_1 \sigma(g) + a_2 g = f_i$  then for any difference field (ring) extension  $(\mathbb{E}, \sigma)$  of  $(\mathbb{F}, \sigma)$  with  $\text{const}_\sigma \mathbb{E} = \text{const}_\sigma \mathbb{F}$  we have  $V(\mathbf{a}, \mathbf{f}, \mathbb{F}) = V(\mathbf{a}, \mathbf{f}, \mathbb{E})$ .

*Proof.* Let  $g_i \in \mathbb{F}$  with  $a_1 \sigma(g_0) + a_2 g_0 = 0$  and  $a_1 \sigma(g_i) + a_2 g_i = f_i$  for  $1 \leq i \leq n$ . Then observe that  $(0, \dots, 0, g_0), (1, 0, \dots, 0, g_1), \dots, (0, \dots, 0, 1, g_n)$  forms a basis of  $\mathbb{V} := V(\mathbf{a}, \mathbf{f}, \mathbb{F})$  over  $\mathbb{K} := \text{const}_\sigma \mathbb{F}$ . Since  $\mathbb{V}$  is a subspace of  $\mathbb{W} := V(\mathbf{a}, \mathbf{f}, \mathbb{E})$  over  $\mathbb{K}$  and the dimension of  $\mathbb{W}$  is at most  $n + 1$ , it follows that  $\mathbb{V} = \mathbb{W}$ .  $\square$

This result allows us to specify a criterium in Theorem 6 if a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  is  $\mathbb{F}$ -complete for  $\mathbf{f}$ .

**Theorem 6.** *Let  $(\mathbb{E}, \sigma)$  with  $\mathbb{E} := \mathbb{F}(t_1) \dots (t_e)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$  and  $\mathbf{f} \in \mathbb{E}^n$ . Let  $\{(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F})\}_{1 \leq i \leq k}$  with  $\mathbf{a}_i = (a_{i1}, a_{i2})$  and  $\mathbf{f}_i = (f_{i1}, \dots, f_{ir_i}) \in \mathbb{F}^{r_i}$  be the  $\mathbb{F}$ -critical tuple set of an extension-stable reduction of  $V((1, -1), \mathbf{f}, \mathbb{E})$  to  $\mathbb{F}$ . If  $(\mathbb{G}, \sigma)$  is a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  where for any  $1 \leq i \leq k$  and  $1 \leq j \leq r_i$  there is a  $g \in \mathbb{G}^*$  with  $a_{i1} \sigma(g) - a_{i2} g = f_{ij}$  then the extension is  $\mathbb{F}$ -complete for  $\mathbf{f}$ .*

*Proof.* Suppose such an extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  is not  $\mathbb{F}$ -complete for  $\mathbf{f}$ . Then we can take a  $\mathbf{c} \in \mathbb{K}^n$  such that  $\sigma(g) - g = \mathbf{c} \mathbf{f}$  has a solution in some  $\Pi\Sigma$ -extension of  $(\mathbb{E}, \sigma)$ , but no solution in  $(\mathbb{G}, \sigma)$  and therefore no solution in  $(\mathbb{E}, \sigma)$ . Hence, by Lemma 1 there is a  $\Sigma^*$ -extension  $(\mathbb{E}(s), \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  and a  $g \in \mathbb{E}(s)$  with  $\sigma(g) - g = \mathbf{c} \mathbf{f}$ . Consequently, by Proposition 1 there exists an  $i$  with  $1 \leq i \leq k$  such that  $V(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}) \subsetneq V(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}(s))$  holds for the  $\Sigma^*$ -extension  $(\mathbb{F}(s), \sigma)$  of  $(\mathbb{F}, \sigma)$ . But by Proposition 2 we have  $V(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}) = V(\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}(s))$ , a contradiction.  $\square$

**Example 5.** *Consider Examples 2 and 3. Since for any  $f \in \{0, t_1 + 1, -2(t_1 + 1), 1, -(t_1 + 1)\}$  there is a  $g \in \mathbb{F}(t_2)(s)$  with  $\sigma(g) - g = f$ , it follows that the  $\Sigma^*$ -extension  $(\mathbb{F}(t_2)(s), \sigma)$  of  $(\mathbb{F}(t_2), \sigma)$  is  $\mathbb{F}$ -complete for  $(\sigma(t_2)/t_1)$ .*

Finally, in Proposition 3 we show that such an extension can be constructed that fulfills our sufficient criterium.

**Proposition 3.** *Let  $(\mathbb{E}, \sigma)$  be a  $\Pi\Sigma$ -extension of  $(\mathbb{F}, \sigma)$ ,  $(a_{i1}, a_{i2}) \in \mathbb{F}^2$  be homogeneous over  $\mathbb{F}$  and  $f_i \in \mathbb{F}$  for  $1 \leq i \leq n$ . Then there is a  $\Sigma^*$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  such that there is a  $g \in \mathbb{G}^*$  with  $a_{i1} \sigma(g) + a_{i2} g = f_i$  for all  $1 \leq i \leq n$ . If  $(\mathbb{F}, \sigma)$  is a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ , such a  $\Pi\Sigma$ -field  $(\mathbb{G}, \sigma)$  can be computed.*

*Proof.* Suppose that we have shown the existence for such a  $\Sigma^*$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  for  $1 \leq i \leq n$ . Now let  $(a_1, a_2) \in \mathbb{F}^2$  be homogeneous over  $\mathbb{F}$  and  $f \in \mathbb{F}$ . If there is a  $g \in \mathbb{G}$  with  $a_1 \sigma(g) + a_2 g = f$ , we have shown the induction step. Otherwise, construct the extension  $(\mathbb{G}(s), \sigma)$  of  $(\mathbb{G}, \sigma)$  with  $s$  transcendental over  $\mathbb{F}$  and  $\sigma(s) = s - \frac{f}{ha_2} \in \mathbb{F}$  where  $h \in \mathbb{F}^*$  with  $a_1 \sigma(h) + a_2 h = 0$ . Now suppose there is a  $g' \in \mathbb{G}^*$  with  $\sigma(g') - g' = -\frac{f}{ha_2}$ . Then for  $w := hg' \in \mathbb{G}^*$  we have  $f = -a_2 h(\sigma(g') - g') = a_1 \sigma(h) \sigma(g') + a_2 hg' = a_1 \sigma(w) + a_2 w$ , a contradiction. Hence by Theorem 1  $(\mathbb{G}(s), \sigma)$  is a  $\Sigma^*$ -extension of  $(\mathbb{G}, \sigma)$  over  $\mathbb{F}$ . Furthermore, for  $v := hs \in \mathbb{G}(s)$  we have that  $a_1 \sigma(v) + a_2 v = f$ , which follows by similar arguments as above for  $w$ . This closes the induction step.

Now suppose that  $(\mathbb{F}, \sigma)$  is a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ . Then by Theorem 5 one can decide if there exists a  $g \in \mathbb{G}^*$  with  $a_1 \sigma(g) + a_2 g = f$  and can compute an  $h \in \mathbb{F}^*$  with  $a_1 \sigma(h) + a_2 h = 0$ . This shows, that the proof above becomes completely constructive.  $\square$

Summarizing, we first compute a basis of  $V((1, -1), \mathbf{f}, \mathbb{E})$  with an extension-stable reduction and extract the  $\mathbb{F}$ -critical tuples; this is possible by Theorem 5. Next we construct with Proposition 3 a  $\Sigma^*$ -extension of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  that fulfills the criterium in Theorem 6.

**Example 6.** *Looking at Example 3 we obtain immediately the  $\Sigma^*$ -extension  $(\mathbb{F}(t_2)(s), \sigma)$  of  $(\mathbb{F}(t_2), \sigma)$  with  $\sigma(s) = s + \frac{1}{(t_1+1)^2}$  which is  $\mathbb{F}$ -complete for  $(\sigma(t_2)/t_1) \in \mathbb{F}(t_2)^1$  by following this strategy. Finally we restart our computation in this extension and obtain for  $V((1, -1), (\sigma(t_2)/t_1), \mathbb{F}(t_2)(s))$  the basis  $\{(0, 1), (2, t_2 + s)\}$  which gives the result  $g = \frac{t_2 + s}{2}$  in Example 1.*

*Now we proceed, and try to find a  $g' \in \mathbb{F}(t_2)(s)$  such that  $\sigma(g') - g' = \sigma(g/t_1)$ , but we fail.*

Therefore, we extract the  $\mathbb{F}$ -critical tuples  $((t_1 + 1)^3, -(t_1 + 1)^3), \mathbf{f}, \mathbb{F}$  with

$$\mathbf{f} \in \left\{ \left( -(t_1 + 1)^2, \frac{t_1 + 1}{2}, -2(t_1 + 1) \right), \left( 2(t_1 + 1)^2, 0, 0 \right), \right. \\ \left. (0, 0), (-3(t_1 + 1)^2, (t_1 + 1)^2, 0), ((t_1 + 1), 2, (t_1 + 1)^2) \right\} \quad (8)$$

from our extension stable reduction to  $\mathbb{F}$ . Following Theorem 6 we construct a  $\Sigma^*$ -extension  $(\mathbb{G}, \sigma)$  of  $(\mathbb{F}(t_2)(s), \sigma)$  over  $\mathbb{F}$  such that there are  $h \in \mathbb{G}$  with  $\sigma(h) - h = \frac{f}{(t_1+1)^2}$  for all  $f \in \mathbb{F}$  from (8). Following the algorithm given in the proof of Proposition 3 we obtain the  $\Sigma^*$ -extension  $(\mathbb{F}(t_2)(s)(s'), \sigma)$  of  $(\mathbb{F}(t_2)(s), \sigma)$  with  $\sigma(s') = s' + \frac{2}{(t_1+1)^3}$ ; afterwards we cancel the constant factor 2. By Theorem 6 this extension is  $\mathbb{F}$ -complete for  $(\sigma(g/t_1)) \in \mathbb{F}(t_2)(s)^1$ . To this end we compute for the solution space  $V((1, -1), (\sigma(g/t_1)), \mathbb{F}(t_2)(s)(s'))$  the basis  $\{(0, 1), (6, (t_2^3 + 3t_2s + 2s'))\}$  which gives the final result in Example 1.

Let  $I \subseteq \{0, \dots, e\}$ . Restricting Algorithm 3 to  $I = \{0\}$  gives just the above strategy. In addition,  $\mathbb{F}_i := \mathbb{F}(t_1) \dots (t_i)$ -complete extensions can be searched for all  $i \in I$ . This can be motivated as follows.  $\mathbb{F}_i$ -complete extensions  $(\mathbb{E}_i, \sigma)$  of  $(\mathbb{E}, \sigma)$  with bigger  $i$  can give more solutions  $\mathbb{W}_i := \Pi_n(V((1, -1), \mathbf{f}, \mathbb{E}_i))$ ; but they might be also more complicated, since they depend on more  $t_j$  (which are usually more nested). Hence, one should look for extensions with smallest possible  $i$  that give still interesting solutions in  $\mathbb{W}_i$ . Algorithm 3 enables one to search in one stroke for all those  $\mathbb{F}_i$ -complete extensions with  $i \in I$ .

**Algorithm 3.** SingleNestedCompleteExtensions $((\mathbb{E}_0, \sigma), \mathbf{f})$

**Input:** A  $\Pi\Sigma$ -field  $(\mathbb{E}_0, \sigma)$  with  $\mathbb{E}_0 := \mathbb{F}(t_1) \dots (t_e)$  over a  $\sigma$ -computable  $\mathbb{K}$ ,  $I = \{j_1 < \dots < j_\lambda\} \subseteq \{0, \dots, e\}$  and  $\mathbf{f} \in \mathbb{E}_0^n$ .

**Output:**  $\Sigma^*$ -extensions  $(\mathbb{E}_i, \sigma)$  of  $(\mathbb{E}_{i-1}, \sigma)$  over  $\mathbb{F}(t_1) \dots (t_{j_i})$  which are single-nested  $\mathbb{F}(t_1) \dots (t_{j_i})$ -complete for  $\mathbf{f}$  for  $1 \leq i \leq \lambda$ ; a basis of  $V((1, -1), \mathbf{f}, \mathbb{E}_\lambda)$ .

- (1) Compute a basis  $B$  of  $V((1, -1), \mathbf{f}, \mathbb{E}_0)$  with an extension-stable reduction to  $\mathbb{F}$ . Let  $d := \dim V((1, -1), \mathbf{f}, \mathbb{E}_0)$ .
- (2) IF  $d = n + 1$  RETURN  $((\mathbb{E}_0, \sigma), B)$  FI
- (3) FOR  $i = 1$  TO  $\lambda$  DO
- (4) Extract the  $\mathbb{F}(t_1) \dots (t_{j_\lambda})$ -critical tuple set, say  $\{\mathbf{a}_i, \mathbf{f}_i, \mathbb{F}\}_{1 \leq i \leq k}$  where  $\mathbf{a}_i = (a_{i1}, a_{i2})$  and  $\mathbf{f}_i = (f_{i1}, \dots, f_{ir_i}) \in \mathbb{F}^{r_i}$  with  $r_i > 0$ . Construct a single-nested  $\Sigma^*$ -extension  $(\mathbb{E}_i, \sigma)$  of  $(\mathbb{E}_{i-1}, \sigma)$  over  $\mathbb{F}(t_1) \dots (t_{j_\lambda})$  such that for any  $1 \leq i \leq k$  and  $1 \leq j \leq r_i$  there exists a  $g \in \mathbb{E}_i^*$  with  $a_{i1} \sigma(g) - a_{i2} g = f_{ij}$ . OD
- (5) IF  $(\mathbb{E}_\lambda, \sigma) = (\mathbb{E}_0, \sigma)$  RETURN  $((\mathbb{E}_0, \sigma), B)$  FI
- (6) Compute a basis  $B'$  of  $V((1, -1), \mathbf{f}, \mathbb{E}_\lambda)$  with dimension  $d'$ .
- (7) IF  $d = d'$  then RETURN  $((\mathbb{E}_0, \sigma), B)$  else RETURN  $((\mathbb{E}_\lambda, \sigma), B')$  FI

**Theorem 7.** Let  $(\mathbb{E}_0, \sigma)$  with  $\mathbb{E}_0 := \mathbb{F}(t_1) \dots (t_e)$  be a  $\Pi\Sigma$ -field over a  $\sigma$ -computable  $\mathbb{K}$ ,  $I = \{j_1 < \dots < j_\lambda\} \subseteq \{0, \dots, e\}$  and  $\mathbf{f} \in \mathbb{E}_0^n$ . Then with Algorithm 3  $\Sigma^*$ -extensions  $(\mathbb{E}_i, \sigma)$  of  $(\mathbb{E}_{i-1}, \sigma)$  over  $\mathbb{F}(t_1) \dots (t_{j_i})$  can be computed which are  $\mathbb{F}(t_1) \dots (t_{j_i})$ -complete for  $\mathbf{f}$  for  $1 \leq i \leq \lambda$ .

The  $\Sigma^*$ -extension  $(\mathbb{E}_\lambda, \sigma)$  of  $(\mathbb{E}, \sigma)$  over  $\mathbb{F}$  produced by Algorithm 3 can be reduced to a more compact extension that delivers the same solutions  $\Pi_n(V((1, -1), \mathbf{f}, \mathbb{E}_\lambda))$ . Namely, if  $\mathbb{E}_\lambda := \mathbb{E}(s_1) \dots (s_e)$ , remove those  $s_i$  that do not occur in  $\mathbb{W}_\lambda = V((1, -1), \mathbf{f}, \mathbb{E}_\lambda)$ . Moreover, join all those  $s_i$ 's to one single  $\Sigma^*$ -extension which occur in a basis element of  $\mathbb{W}_i$ ; see

Lemma 1. Furthermore, cancel constants from  $\mathbb{K}$  that may occur in the summand  $\sigma(s_i) - s_i$ ; see Example 6.

Observe that recursively applied indefinite summation can be treated more efficiently, if one reduces these extensions after each application of Algorithm 3.

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